PTO-1390 (Rev. 12-2004)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 28193/24642

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371						
NTER	NA	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
	~-	PCT/GB03/02683	23 June 2003	21 June 2002		
HILLE	Or	INVENTION Light Beam St	naping Apparatus			
APPLI	CA	NT(S) FOR DO/EO/US Pete	er WYNNE WILLSON			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1.	×	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.				
2.	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.					
3.	This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.	The US has been elected (Article 31).					
5.	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))					
á	a. x is attached hereto (required only if not communicated by the International Bureau).					
t	b. x has been communicated by the International Bureau.					
C	s.	is not required, as the applic	ation was filed in the United States Re	ceiving Office (RO/US).		
6.		An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).				
á	а.	is attached hereto.				
t	o.	has been previously submitt	ed under 35 U.S.C. 154(d)(4).			
7.	х	Amendments to the claims of the	e International Application under PCT	Article 19 (35 U.S.C. 371 (c)(3))		
ā	а. [	are attached hereto (required only if not communicated by the International Bureau).				
t	o.	have been communicated by the International Bureau.				
C	<b>5.</b>	have not been made; howev	er, the time limit for making such amer	ndments has NOT expired.		
	ı.	x have not been made and wil	I not be made.			
8.		An English language translation of	of the amendments to the claims under	PCT Article 19 (35 U.S.C. 371 (c)(3)).		
9.		An oath or declaration of the inv	entor(s) (35 U.S.C. 371 (c)(4)).			
10.		An English language translation Article 36 (35 U.S.C. 371 (c)(5))		eliminary Examination Report under PCT		
tems 11 to 20 below concern document(s) or information included:						
11.	x	An Information Disclosure State	ment under 37 CFR 1.97 and 1.98.			
12.		An assignment document for reco	ording. A separate cover sheet in complia	nce with 37 CFR 3.28 and 3.31 is included.		
13.	x	A preliminary amendment.				
14.		An Application Data Sheet under	r 37 CFR 1.76.			
15.		A substitute specification.	·			
16.		A power of attorney and/or chan	ige of address letter.			
17.		A computer-readable form of the	sequence listing in accordance with PC	T Rule 13ter.2 and 37 CFR 1.821 - 1.825.		
18.		A second copy of the published	International Application under 35 U.S.	.C. 154(d)(4).		
19.		A second copy of the English la	nguage translation of the international a	application under 35 U.S.C. 154(d)(4).		
20.	x	Other items or information: Inte	rnational Search Report; return receipt p	ostal card		

## DT09 Rec'd PCT/PTO 2 1 DEC 2004

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/GB03/02683 28193/24642 21. The following fees are submitted: a) Basic national fee .....\$300.00 300.00 b) Examination fee .....\$200.00 200.00 c) Search fee ......\$500.00 500.00 TOTAL OF ABOVE CALCULATIONS = 1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra sheets RATE thereof (round up to a whole number - 100 = /50 = x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest daimed priority date (37 CFR 1.492 (e)). NUMBER FILED | NUMBER EXTRA **CLAIMS** RATE Total claims 12 - 20 = 0.00 2-3 = Independent claims 0.00 х MULTIPLE DEPENDENT CLAIM(s) (if applicable) TOTAL OF ABOVE CALCULATIONS = 1,000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above 500.00 are reduced by 1/2. SUBTOTAL = 500.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). **TOTAL NATIONAL FEE =** 500.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 500.00 **TOTAL FEES ENCLOSED =** Amount to be refunded: Amount to be \$ charged: A check in the amount of 500.00 to cover the above fees is enclosed. Please charge my Deposit Account No. ь in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status SEND ALL CORRESPONDENCE TO: SIGNATURE: UJames P. Zeller NAME **CUSTOMER NUMBER: 04743** 28,491 REGISTRATION NUMBER



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Wynne Willson	)	For: LIGHT BEAM SHAPING APPARATUS
U.S. National Phase of	j –	
PCT/GB03/02683 filed June 23, 2003	j i	Group Art Unit: To be assigned
Filed: Herewith	). )	Examiner: To be assigned
	)	
	)	
	)	

## **CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that the attached five items (a) through (e) are being deposited with the United States Postal Service on December 21, 2004, in a postage-paid envelope addressed to Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV532463799US.

- (a) Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. §371;
- (b) Application, including six sheets of description, two sheets of claims, and five sheets of drawings;
- (c) Preliminary Amendment;
- (d) Information Disclosure Statement; and
- (e) International Search Report.

Richard Zimmermann